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Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 4294US (98-1208)

First Inventor or Application Identifier John Whitman

Title SPIN COATING FOR MAXIMUM FILL CHARACTERISTIC YIELDING A PLANARIZED THIN FILM SURFACE

Express Mail Label No. EL500248224US

PTO

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Specification [Total Pages 37]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
4. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & document(s))
9. 37 C.F.R. § 3.73(b) Statement
(when there is an assignee) Power of Attorney
10. English Translation Document (if applicable)
11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 - * Small Entity Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Other:

* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label or Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	Brick G. Power				
Address	Trask, Britt & Rossa P.O. Box 2550				
City	Salt Lake City	State	Utah	Zip Code	84102
Country	U.S.A.	Telephone	(801) 532-1922		Fax (801) 531-9168

Name (Print/Type)	Brick G. Power	Registration No. (Attorney/Agent)	38,581
Signature	<i>Brick G. Power</i>		Date 04/04/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 2460.00)

Complete if Known

Application Number	Not yet assigned
Filing Date	April 4, 2000
First Named Inventor	John Whitman
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	4294US (98-1208)

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES Large Entity Small Entity Fee Code (\$) Fee Code (\$) Fee Code (\$) Fee Description Fee Paid					
Deposit Account Number	20-1469	105	130	205	65	Surcharge - late filing fee or oath	
Deposit Account Name	Deidra Pfeil	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		139	130	139	130	Non-English specification	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		147	2,520	147	2,520	For filing a request for reexamination	
FEE CALCULATION		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
1. BASIC FILING FEE Large Entity Small Entity Fee Code (\$) Fee Code (\$) Fee Description Fee Paid		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
101 690 201 345 Utility filing fee 106 310 206 155 Design filing fee 107 480 207 240 Plant filing fee 108 690 208 345 Reissue filing fee 114 150 214 75 Provisional filing fee		115	110	215	55	Extension for reply within first month	
SUBTOTAL (1) (\$ 690.00)		116	380	216	190	Extension for reply within second month	
2. EXTRA CLAIM FEES Extra Claims Fee from below Fee Paid		117	870	217	435	Extension for reply within third month	
Total Claims 101 -20** = 81 X 1.8 = 1458 Independent Claims 7 - 3** = 4 X 7.8 = 312 Multiple Dependent 0 = 0		118	1,360	218	680	Extension for reply within fourth month	
SUBTOTAL (2) (\$ 1,770.00)		128	1,850	228	925	Extension for reply within fifth month	
*or number previously paid, if greater; For Reissues, see below		119	300	219	150	Notice of Appeal	
Large Entity Small Entity Fee Code (\$) Fee Code (\$) Fee Description		120	300	220	150	Filing a brief in support of an appeal	
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid 109 78 209 39 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent		121	260	221	130	Request for oral hearing	
SUBTOTAL (2) (\$ 1,770.00)		138	1,510	138	1,510	Petition to institute a public use proceeding	
Reduced by Basic Filing Fee Paid		140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (3) (\$)		141	1,210	241	605	Petition to revive - unintentional	
Other fee (specify) _____		142	1,210	242	605	Utility issue fee (or reissue)	
Other fee (specify) _____		143	430	243	215	Design issue fee	
Other fee (specify) _____		144	580	244	290	Plant issue fee	
Other fee (specify) _____		122	130	122	130	Petitions to the Commissioner	
Other fee (specify) _____		123	50	123	50	Petitions related to provisional applications	
Other fee (specify) _____		126	240	126	240	Submission of Information Disclosure Stmt	
Other fee (specify) _____		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Other fee (specify) _____		146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
Other fee (specify) _____		149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
SUBTOTAL (3) (\$)							

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Brick G. Power	Registration No. (Attorney/Agent)	38,581	Telephone	(801) 532-1922
Signature	Bridget Power			Date	04/04/2000

WARNING:

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